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Major Article

Multidrug-resistant *Pseudomonas aeruginosa* and mortality in mechanically ventilated ICU patients

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Ventilator-associated pneumonia
Intensive care medicine
Empirical antibiotic
Nosocomial pneumonia

A B S T R A C T

Background: The link between bacterial resistance and prognosis remains controversial. Predominant pathogen causing ventilator-associated pneumonia (VAP) is *Pseudomonas aeruginosa* (*Pa*), which has increasingly become multidrug resistant (MDR). The aim of this study was to evaluate the relationship between MDR VAP *Pa* episodes and 30-day mortality.

Methods: From a longitudinal prospective French multicenter database (2010-2016), *Pa* VAP onset and physiological data were recorded. MDR was defined as non-susceptibility to at least 1 agent in 3 or more antimicrobial categories. To analyze if MDR episodes were associated with greater in-hospital 30-day mortality, we performed a multivariate survival analysis using the multivariate nonlinear frailty model.

Results: A total of 230 patients presented 286 *Pa* VAP. A maximum of 3 episodes per patient was observed; 73 episodes were MDR and 213 were susceptible. In the multivariate model, factors independently associated with 30-day mortality included hospitalization in the 6 months preceding the first episode (hazard ratio [HR], 2.31; 95% confidence interval [CI], 1.50-3.60; $P = .0002$), chronic renal failure (HR, 2.34; 95% CI, 1.15-4.77; $P = .0196$), and *Pa* VAP recurrence (HR, 2.29; 95% CI, 1.79-4.87; $P = .032$). Finally, MDR *Pa* VAP was not associated with death (HR, 0.87; 95% CI, 0.52-1.45; $P = .59$).

Conclusions: This study did not identify a relationship between the resistance profile of *Pseudomonas aeruginosa* and mortality.

BACKGROUND

Nosocomial pneumonia is the most frequently reported infection in intensive care units (ICUs), with mechanically ventilated patients

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being at the highest risk. The incidence of ventilator-associated pneumonia (VAP) ranges from 8% to 28% of mechanically ventilated patients.¹ The incidence density of VAP is between 1.9 and 3.8 per 1000 ventilator-days in the United States and exceeds 18 per 1000 ventilator-days in Europe.² These discrepancies are at least partly explained by the definition used for diagnosis.³ *Pseudomonas aeruginosa* (*Pa*) is the most common bacteria causing VAP (along with *Staphylococcus aureus*), with a prevalence of 4.1% in a prospective international observational study performed in 11 countries.⁴

The treatment of VAP related to *Pa* is challenging because of the emergence and increased incidence of antibiotic resistance. Multidrug resistance varies from country to country and can be as high as 40%;⁵ however, there is no definite established relationship between multidrug resistance and outcomes. Attributable mortality related to multidrug-resistant (MDR) *Pa* has not been extensively studied. A recent study⁶ reported that, compared to susceptible *Pa* VAP, the MDR *Pa* VAP adjusted risk ratio of ICU death was 1.34 (95% CI, 0.97–1.87), suggesting that the impact on ICU mortality is indirect via an increased length of stay, which is consistent with the literature.⁷ The aim of the present study was, therefore, to evaluate the relationship between MDR *Pa* VAP episodes and mortality in a series of mechanically ventilated patients.

METHODS

Study population and data collection

We conducted a retrospective study in 3 ICUs from 2 French hospitals between January 2010 and December 2016. The analysis was based on records from a longitudinal prospectively collected database. The study was approved by the Commission Nationale de l'Informatique et des Libertés (CNIL #CIL/AP-HM 2017/48).

Eligible patients were ≥ 18 years old, were consecutively admitted to the ICU, had a diagnosis of VAP according to Infectious Diseases Society of America criteria,⁸ and had a microbiologic confirmation of monomicrobial *Pa* culture. The onset of each VAP episode was considered at the time the microbiologic sample was obtained. The following data were collected: age, sex, comorbidities, origin and reason for ICU admission, organ failure scores (Sepsis-Related Organ Failure Assessment and Simplified Acute Physiology Score II), duration of mechanical ventilation, length of ICU stay, length of hospital stay, and 30-day mortality.

Information concerning each VAP episode or recurrence was also collected, including the Sepsis-Related Organ Failure Assessment, antimicrobial treatment received, initiation of empiric antibiotic therapy (and its adequacy), delay between sampling and adequate antibiotic therapy, patterns of germ resistance, associated organ failures (septic shock, acute respiratory distress syndrome, acute kidney injury), and the absence/presence of bacteremia within the 48 hours before and/or after VAP episode diagnosis.

Definitions

The diagnosis of VAP was retained according to the Infectious Diseases Society of America definition:⁸ VAP refers to pneumonia that occurs more than 48 to 72 hours after endotracheal intubation. Colonization is defined by a positive respiratory microbiological sampling of *Pa* prior to VAP with no clinical, radiological, or biological signs of respiratory infection. The microbiological confirmation was obtained by bronchoalveolar lavage, quantitative culture with a threshold at 10^4 CFU/mL, or tracheal aspiration quantitative culture at a threshold of 10^5 CFU/mL. Multidrug resistance was defined as non-susceptibility to at least 1 agent in 3 or more antimicrobial categories.⁹

Empiric antibiotic therapy was considered when an antimicrobial regimen was administered within 24 hours of the sample for VAP diagnosis and before susceptibility was known. Antimicrobial therapy was considered adequate when the *Pa* isolate was susceptible to at least 1 of the antimicrobial agents prescribed. Recurrence was defined as the persistence or recurrence of the VAP criteria defined above, documented as *Pa* at least 2 days after the end of antibiotic therapy initiated for the previous episode.¹⁰ The main outcome was all-cause in-hospital mortality at day 30 (30-day mortality).

Statistical analysis

We first described the characteristics of the patients and the episodes. Quantitative data are presented as means $\pm$ standard deviations (SDs) or medians (interquartile ranges [IQRs]). Qualitative data are presented as counts and percentages (%). We then compared episodes of MDR *Pa* VAP to susceptible *Pa* episodes. To take into account correlations due to multiple episodes per subject, we performed univariate generalized mixed models with random interception. We used the PROC GLIMMIX procedure available in SAS 9.4 (SAS Institute; Cary, NC).

To analyze if MDR episodes were associated with higher in-hospital 30-day mortality, we performed a multivariate survival analysis using the multivariate nonlinear frailty model (with a gamma distribution of the frailty) of these repeated episodes of VAP, as well as a common origin (the start of the first episode) for each individual's event. The frailty model allowed us to take into account unobserved heterogeneity due to correlation of data (because of repeated episodes per subject). The subject was defined as a random effect. Thirty-day mortality was defined as the event. Patients still alive at day 30 following the start of VAP were censored, in addition to patients with a recurrence within these 30 days (with time to censoring = time to recurrence). After introducing all variables with a *P* value $< .20$ in univariate analyses, we performed a backward selection using a threshold of .05 for statistical significance. The model was run with the PROC PHREG procedure available in SAS 9.4.

RESULTS

Characteristics of the patients

A total of 230 patients with *Pa* VAP were included during this 7-year period. As shown in Table 1, acute respiratory failure was the leading cause of admission (53.5%). Sixty percent of the patients were admitted from the ward. The median duration of ventilation was 25 days (IQR, 15–45). The median length of ICU stay was 34 days (IQR, 20–53), and the crude ICU mortality was 53%. The mortality on day 30 was 22%.

Characteristics of the episodes of Pseudomonas aeruginosa VAP

A maximum of 3 episodes per patient was observed. Figure 1 shows the distribution of the 286 *Pa* VAP episodes. A total of 73 episodes were MDR: 51 (22.2%) at the first episode of VAP, 19 (40.4%) at the second episode, and 3 (33.3%) at the third episode; 213 episodes were susceptible: 179 (77.8%) at the first episode, 28 (59.9%) at the second episode, and 6 (66.7%) at the third episode.

Comparison between MDR Pa episodes of VAP and susceptible Pa episodes of VAP

Hospitalization in the 6 months preceding the first episode of VAP due to *Pa* was more common in the MDR group (*P* = .002) (Table 2). More patients had received antibiotics in the previous 30 days before VAP due to *Pa* in the MDR group (*P* = .02). There were also more patients admitted from the ward (*P* = .003), more patients presenting with cystic fibrosis (*P* = .0001), more lung transplant recipients (*P* < .0001), more patients presenting with immunosuppression (*P* = .0003), and more patients presenting with *Pa* colonization before VAP (*P* = .006) in the MDR group. More patients from the MDR *Pa* VAP group received inadequate empiric antibiotic therapy (18.4% vs 4.7%; *P* = .002). Finally, *Pa* VAP recurrence (second or third episode) was more common in the MDR group (*P* = .018).

Table 1
Characteristics of the population

Variable	Patients (N = 230)
Male gender, n (%)	164 (71.3)
Age (y), median (IQR)	60 (47–69)
SAPS II, median (IQR)	45 (36–59)
SOFA, median (IQR)	7 (5–9)
Admission category, n (%)	
Medicine	134 (58.3)
Emergency surgery	46 (20)
Scheduled surgery	21 (9.1)
Trauma	29 (12.6)
Origin of admission, n (%)	
Direct admission	92 (40)
ICU admission cause, n (%)	
Acute respiratory failure	123 (53.5)
Acute neurology failure	41 (17.8)
Septic shock	28 (12.2)
Acute heart failure	16 (7)
Other	22 (9.6)
Chronic illnesses, n (%)	
Cardiovascular	66 (28.7)
Renal	14 (6.1)
Liver	7 (3)
Diabetes	48 (20.9)
COPD	39 (17)
Cystic fibrosis	18 (7.8)
Immunosuppression	48 (20.9)
Malignancies	51 (22.2)
Hospitalization in the last 6 mo, n (%)	94 (40.9)
Antibiotic in the last 30 d, n (%)	67 (29.1)
<i>Pseudomonas</i> colonization before VAP, n (%)	79 (34.3)

COPD, chronic obstructive pulmonary disease; ICU, intensive care unit; IQR, interquartile range; SAPS II, Simplified Acute Physiology Score II; SOFA, Sepsis-Related Organ Failure Assessment; VAP, ventilator-associated pneumonia.

Factors associated with day-30 mortality

Among all the 286 VAP episodes, 99 led to death before recurrence within 30 days. In the univariate analysis, factors associated with 30-day mortality were age, hospitalization in the 6 months preceding the first episode, use of antibiotics in the previous 30 days, chronic

renal failure, and malignancies (Table 3). The absence of empiric antibiotic therapy was identified as a significant protective factor (HR, 0.41; 95% CI; 0.21–0.82; $P = .012$). The factors associated with the severity of the episode were associated with an increased risk of death (septic shock, acute respiratory distress syndrome, acute kidney injury). *Pa* VAP recurrence was also associated with death (HR, 2.26; 95% CI; 1.43–5.71; $P = .003$). In the multivariate model (with resistance as a forced variable), factors independently associated with 30-day mortality were age (HR, 1.02; 95% CI, 1.01–1.04; $P = .0064$), hospitalization in the 6 months preceding the first episode (HR, 2.31; 95% CI, 1.50–3.60; $P = .0002$), chronic renal failure (HR, 2.34; 95% CI, 1.15–4.77; $P = .0196$), and *Pa* VAP recurrence (HR, 2.29; 95% CI, 1.79–4.87; $P = .032$). In contrast, this analysis indicated that an episode of resistant *Pa* VAP was not considered a risk factor for death (HR, 0.87; 95% CI, 0.52–1.45; $P = .60$).

DISCUSSION

This study showed that the resistance profile of *Pseudomonas aeruginosa* responsible for VAP was not associated with death. In contrast, the underlying condition of the patients at the time of VAP diagnosis was associated with death. The fact that recurrence is a factor associated with mortality shows that the more a patient worsens and remains on mechanical ventilation, the greater the risk of recurrence and death. However, the resistance of *Pa* also increases with recurrence.

In our study, we considered also as a recurrence the persistence of VAP occurring 2 days after the end of treatment. This may have included patients with unapparent resolution because of getting inappropriate antibiotic therapy from MDR *Pa* VAP in the previous episode; however, we did not find any link between adequacy or inadequacy of empiric antibiotic therapy and recurrence. Moreover, the analysis was adjusted on adequate/inappropriate antibiotic therapy, thus limiting the impact of potentially imbalanced groups on this factor.

In a univariate study, not having empiric antibiotherapy was a protective factor, compared to having an inadequate one (HR, 0.41; 95% CI, 0.21–0.82; $P = .0120$). This can be explained by the wait-and-see strategy in less severe patients, which is based on waiting for

Fig 1. Flow chart of the study. First, Second, and Third refer to the first, second, and third *Pseudomonas aeruginosa* episodes, respectively. VAP, ventilator-associated pneumonia; MDR, multiple drug-resistant *Pseudomonas aeruginosa*; S, non-MDR *P aeruginosa*.

Table 2
Factors associated with MDR VAP episodes

Variable	MDR (n = 73)	Susceptible (n = 213)	P value
Male gender, n (%)	54 (74.0)	154 (72.3)	.83
Age, years median (IQR)	58 (42-65)	60 (48-70)	.36
SAPS II, median (IQR)	40 (31-50)	45 (36-58)	.35
SOFA, median (IQR)	6 (4-9)	6 (4-9)	.83
Admission category, n (%)			.06
Medicine	45 (61.6)	118 (55.4)	
Emergency surgery	20 (27.4)	38 (17.8)	
Scheduled surgery	5 (6.8)	22 (10.3)	
Trauma	3 (4.1)	35 (16.4)	
Hospitalization in the last 6 mo, n (%)	41 (56.2)	71 (33.3)	.003
Antibiotic in the last 30 d, n (%)	30 (41.1)	52 (24.4)	.02
Origin of admission, n (%)			
Direct admission	18 (24.7)	98 (46.0)	.003
Chronic illnesses, n (%)			
Cardiovascular	15 (20.5)	68 (31.9)	.09
Kidney	3 (4.1)	11 (5.2)	.76
Liver	2 (2.7)	6 (2.8)	.88
Diabetes	19 (26.0)	43 (20.2)	.35
COPD	16 (21.9)	32 (15.0)	.26
Cystic fibrosis	16 (21.9)	7 (3.3)	<.0001
Lung transplantation	23 (31.5)	20 (9.4)	.0001
Immunosuppression	29 (39.7)	33 (15.5)	.0003
Malignancies	13 (17.8)	50 (23.5)	.36
<i>Pseudomonas</i> colonization before VAP, n (%)	36 (49.3)	63 (29.6)	.006
<i>Pseudomonas</i> bacteremia within 48 h of VAP, n (%)	7 (9.6)	12 (5.7)	.26
Septic shock within 48 h of VAP, n (%)	42 (57.5)	116 (54.5)	.70
ARDS within 48 h of VAP, n (%)	50 (68.5)	148 (69.5)	.95
AKI within 48 h of VAP, n (%)	20 (27.4)	38 (17.8)	.14
Empiric antibiotic therapy, n (%)			.002
Absence of empiric antibiotic therapy	30 (42.3)	69 (32.5)	
Inadequate empiric antibiotic therapy	13 (18.3)	10 (4.7)	
Adequate empiric antibiotic therapy	28 (39.4)	133 (62.7)	
Adequate antibiotic therapy within 24 h, n (%)	35 (47.9)	125 (58.7)	.13
Delay between the onset of mechanical ventilation and VA (d), median (IQR)	18 (9-34)	15 (7-27)	.26
One or more recurrences, n (%)	22 (30.14)	34 (16.0)	.018

AKI, acute kidney injury; ARDS, acute respiratory distress syndrome; COPD, chronic obstructive pulmonary disease; IQR, interquartile range; MDR, multiple drug-resistant *Pseudomonas aeruginosa*; SAPS II, Simplified Acute Physiology Score II; SOFA, Sepsis-Related Organ Failure Assessment; VAP, ventilator-associated pneumonia.

identification of the germ and antibiogram; however, this relationship was no longer found in the multivariate model.

The retrospective nature of our study is a limitation, although missing data are scarce due to prospective data capture. Interesting data such as the delay between the onset of VAP and introduction of the antibiotic treatment were not available. In the other limits of our study, we can emphasize that we have never been in a situation of therapeutic impasse.

In the literature, the risk of acquiring VAP is highest during the first 5 days of mechanical ventilation (3%), with the mean duration between intubation and development of VAP being 3.3 days.^{11,12} This risk declines to 2% per day between days 5 and 10 of ventilation, and 1% per day for the remaining days.⁴ Chronic obstructive pulmonary disease, duration of mechanical ventilation longer than 8 days, and prior use of antibiotics are already known risk factors for *Pa*.¹³

In the ICU, VAP is associated with a death rate of approximately 20%;⁷ however, the mortality attributable (percentage of deaths that would not have occurred in the absence of the infection) to this infection remains debated, with an estimated value between 5% and 13%.¹⁴ One of the explanations for this huge variability is related to the difficulty of a statistical model to determine the VAP-associated mortality across many risk factors for death. Taking into account both the temporal dependence of the risk factor (VAP) and the presence of competing risks (death and discharge) by using a multistate model, Nguile-Makao et al¹⁵ reported that VAP-associated mortality was only 8.1% for a 120-day period of observation. Being a surgical patient

or having an intermediate Simplified Acute Physiology Score II score at ICU admission was associated with a higher VAP-associated mortality. These results were also reported after performing a competing risk analysis.¹⁴ VAP-associated mortality linked to *Pa* is difficult to establish clearly. A 20% increase in the risk of dying in the ICU for patients presenting VAP due to *Pa* has recently been reported.⁶ The authors concluded that the risk of death seems related to a decreased discharge hazard. Thus, the effect on mortality could be indirect due to a prolonged ICU stay. Our results are consistent with these conclusions; however, the advantage of our study is that it analyzes in-hospital mortality at day 30 and not only ICU mortality which allows us to eliminate a competitive risk bias.⁶ Moreover, considering all episodes, we made the link between mortality and recurrence, rather than resistance. Understanding the impact of *Pa* resistance on the outcome is challenging. The first reason is that there is no international consensus on the definition of multidrug resistance, which makes direct comparison of the literature difficult; however, international experts recently proposed a standardized definition of resistance.⁹ These resistant strains are potentially associated with inappropriate empiric antibiotic treatment, which is a main determinant of mortality in patients with pneumonia in the ICU.¹⁶ Multidrug resistance, therefore, decreases treatment options and increases the risk of delaying treatment.¹⁷ The relationship between initial antibiotic inadequacy and early mortality has already been reported, but the role of multidrug resistance remains controversial,¹⁸ therefore, it is crucial to investigate if VAP related to resistant strains of *Pa* is

Table 3
Factors associated with day 30 in hospital mortality: univariate and multivariate frailty model

Variable	Survivor (n = 187)	30-day deaths (n = 99)	Univariable analysis	P value	Multivariable analysis	P value
Male gender, n (%)	141 (75.4)	67 (67.7)	0.73 (0.48-1.12)	.15	0.56 (0.35-0.89)	.013
Age (y), median (IQR)	57 (42-67)	63 (52-70)	1.01 (1.01-1.03)	.022	1.02 (1.01-1.04)	.0064
Hospitalization in the last 6 mo, n (%)	57 (30.5)	55 (55.6)	2.26 (1.51-3.40)	<.0001	2.31 (1.50-3.60)	.0002
Antibiotic in the last 30 d, n (%)	41 (21.9)	41 (41.4)	2.03 (1.35-3.04)	.0006		
Admission category, n (%)				.06		
Medicine	98 (52.4)	65 (65.7)	3.30 (1.32-8.24)			
Emergency surgery	40 (21.4)	18 (18.2)	2.43 (0.90-6.55)			
Scheduled surgery	16 (8.6)	11 (11.1)	3.57 (1.21-10.53)			
Trauma	33 (17.6)	5 (5.0)	1			
Origin of admission, n (%)						
Direct admission	82 (43.8)	34 (34.3)	1.35 (0.89-2.05)	.16		
Chronic illnesses, n (%)						
Cardiovascular	50 (26.7)	33 (33.3)	1.29 (0.84-1.97)	.24		
Kidney	5 (2.7)	9 (9.1)	2.20 (1.10-4.40)	.0253	2.34 (1.15-4.77)	.0196
Liver	3 (1.6)	5 (5.0)	2.37 (0.96-5.88)	.06		
Diabetes	41 (21.9)	21 (21.2)	0.99 (0.61-1.61)	.97		
COPD	28 (15.0)	20 (20.2)	1.34 (0.85-2.29)	.18		
Cystic fibrosis	14 (7.5)	9 (9.1)	1.13 (0.57-2.26)	.72		
Lung transplantation	29 (15.5)	14 (14.1)	0.85 (0.47-1.53)	.58		
Immunosuppression	36 (19.2)	26 (26.3)	1.28 (0.81-2.04)	.29		
Malignancies	30 (16.0)	33 (33.3)	1.93 (1.26-2.96)	.0024		
<i>Pseudomonas</i> colonization before VAP, n (%)	68 (36.4)	31 (31.3)	1.30 (0.84-2.01)	.23		
SAPS II, median (IQR)	44 (35 – 53)	43 (36 – 62)	1.01 (0.99-1.02)	.14		
SOFA, median (IQR)	5 (3-8)	8 (6-11)	1.23 (1.16-1.31)	<.0001	1.17 (1.10-1.25)	<.0001
Delay between the start of mechanical ventilation and VAP (d), median (IQR)	16 (8-29)	12 (6-28)	0.99 (0.98-1.00)	.17		
Empiric antibiotic therapy, n (%)				.0410		.0386
Absence of empiric antibiotic therapy	72 (38.5)	27 (27.3)	0.41 (0.21-0.82)	.0120	0.61 (0.30-1.25)	.20
Inadequate empiric antibiotic therapy	10 (5.3)	13 (13.1)	1		1	
Adequate empiric antibiotic therapy	104 (55.6)	57 (57.6)	0.58 (0.31-1.08)	.09	0.43 (0.22-0.85)	.014
Effective antibiotic therapy within 24 h, n (%)	101 (54.0)	59 (59.6)	1.22 (0.82 – 1.82)	.34		
<i>Pseudomonas</i> bacteremia within 48 h of VAP, n (%)	10 (5.3)	9 (9.1)	1.36 (0.65-2.81)	.41		
Septic shock within 48 h of VAP, n (%)	84 (44.9)	74 (74.7)	3.03 (1.92-4.78)	<.0001		
ARDS within 48 h of VAP, n (%)	111 (59.4)	87 (87.9)	4.13 (2.25-7.57)	<.0001	3.46 (1.78-6.79)	.0003
AKI within 48 h of VAP, n (%)	26 (13.9)	32 (32.3)	2.32 (1.52-3.57)	.0001		
VAP with resistant <i>Pseudomonas</i> , n (%)	45 (24.1)	28 (28.3)	1.18 (0.71-1.75)	.63	0.87 (0.52-1.45)	.60
One or more recurrences, n (%)	32 (17.1)	24 (24.2)	2.86 (1.43-5.71)	.003	2.29 (1.79-4.87)	.032

AKI, acute kidney injury; ARDS, acute respiratory distress syndrome; COPD, chronic obstructive pulmonary disease; IQR, interquartile range; SAPS II, Simplified Acute Physiology Score II; SOFA, Sepsis-Related Organ Failure Assessment; VAP, ventilator-associated pneumonia.

associated with an increased risk of death compared to more susceptible strains. The absence of a difference would indicate that VAP is not a major contributing factor to ICU death and/or that *Pa* pathogenicity varies according to its phenotype. Another explanation would be that attributable VAP mortality is more related to the severity of the infectious episode than the antibiotic resistance itself.¹⁰ Aloush et al¹⁹ reported a non-significant increase in crude in-hospital mortality with MDR *Pa* colonization or infection compared with their matched control patients. A significant association with mortality was reported for MDR *Pa* colonization or infection when using a multivariate model (odds ratio, 4.4; $P = .04$).¹⁶ However, not all patients were hospitalized in the ICU, and infections and colonizations were not distinguished in the many sites that were considered (wound, bloodstream, VAP, urinary tract). The association between multidrug resistance and increased ICU length of stay has already been reported.¹

The absence of a link between multiresistance and mortality allows us to evoke bacterial fitness. Fitness is defined as the ability of bacteria to adjust its metabolism to suit environmental conditions in order to survive and grow. The selection pressure exerted by antibiotics has a fitness cost.²⁰ This study allows a clinical approach. In conclusion, our study does not find any link between the resistance profile of *Pseudomonas aeruginosa* and mortality. Further studies are needed to evaluate if this is reported in large prospective studies.

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